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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P5378C

First Inventor or Application Identifier Jerrold V. Hauck

Title DISTRIBUTED ARBITRATION ON A FULL DUPLEX BUS - UTILITY -

Express Mail Label No. EL651846357US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification Total Pages 15  
(preferred arrangement set forth below)  
 - Descriptive title of the Invention  
 - Cross References to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table,  
 or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed )  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C.113) Total Sheets 10

5. Oath or Declaration Total Pages 4

- a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63(d))  
 (for continuation/divisional with Box 18 completed)  
 i.  DELETION OF INVENTOR(S)  
 Signed statement attached deleting  
 inventor(s) named in the prior application,  
 see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76.

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.  Computer Readable Form (CFR)  
 b.  Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  Paper  
 c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))  
 10.  37 CFR 3.73(b) Statement  Power of Attorney  
 (when there is an assignee)  
 11.  English Translation Document (if applicable)  
 12.  Information Disclosure Statement (IDS)/PTO - 1449  Copies of IDS  
 Citations  
 13.  Preliminary Amendment  
 14.  Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)  
 15.  Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)  
 16.  Nonpublication Request under 35 USC 122(b)(2)(B)(i).  
 Applicant must attach form PTO/SB/35 or its equivalent  
 17.  Other: .....

**18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:** Continuation  Divisional  Continuation-in-part (CIP) of prior application No. 09 / 017,451

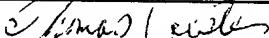
Prior application Information: Examiner S. Nguyen Group/Art Unit: 2664

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number of Bar Code Label	 *08791*	<input type="checkbox"/> Correspondence address below	
Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP		
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Signature 

Date 10/18/01

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11046 U.S. PTO  
10/18/0111046 U.S. PTO  
10/18/01

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<b>FEE TRANSMITTAL</b>		<i>Complete if Known</i>	
<b>for FY 2002</b>		Application Number	
<i>Patent fees are subject to annual revision.</i>		Filing Date	
		First Named Inventor	Jerrold V. Hauck, et al.
		Examiner Name	
		Group Art Unit	
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>(\$)</b>	Attorney Docket Number
		740.00	42390P5378C

<b>METHOD OF PAYMENT</b> (check one)		<b>FEE CALCULATION (continued)</b>	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. <b>ADDITIONAL FEE</b>	
Deposit Account Number		Large Entity Small Entity	
02-2666		Fee Code	Fee Description
Deposit Account Name		Fee (\$)	Fee (\$)
Blakely, Sokoloff, Taylor & Zafman LLP		105	130 205 65 Surcharge - late filing fee or oath
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17		127	50 227 25 Surcharge - late provisional filing fee or cover sheet.
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		139	130 139 130 Non-English specification
2. <input checked="" type="checkbox"/> Payment Enclosed:		147	2,520 147 2,520 For filing a request for ex parte reexamination
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		112	920 112 920 Requesting publication of SIR prior to Examiner action
<b>FEE CALCULATION</b>		113	1,840* 113 1,840* Requesting publication of SIR after Examiner action
1. <b>FILING FEE</b>		115	110 215 55 Extension for response within first month
Large Entity Small Entity		116	400 216 200 Extension for response within second month
Fee Code (\$)		117	920 217 460 Extension for response within third month
Fee Code (\$)		118	1,440 218 720 Extension for response within fourth month
Fee Code (\$)		128	1,960 228 980 Extension for response within fifth month
Fee Code (\$)		119	320 219 160 Notice of Appeal
Fee Code (\$)		120	320 220 160 Filing a brief in support of an appeal
Fee Code (\$)		121	280 221 140 Request for oral hearing
Fee Code (\$)		138	1,510 138 1,510 Petition to institute a public use proceeding
Fee Code (\$)		140	110 240 55 Petition to revive - unavoidably
Fee Code (\$)		141	1,280 241 640 Petition to revive - unintentionally
Fee Code (\$)		142	1,280 242 640 Utility issue fee (or reissue)
Fee Code (\$)		143	460 243 230 Design issue fee
Fee Code (\$)		144	620 244 310 Plant issue fee
Fee Code (\$)		122	130 122 130 Petitions to the Commissioner
Fee Code (\$)		123	50 123 50 Petitions related to provisional applications
Fee Code (\$)		126	180 126 180 Submission of Information Disclosure Stmt
Fee Code (\$)		581	40 581 40 Recording each patent assignment per property (times number of properties)
Fee Code (\$)		146	740 246 370 Filing a submission after final rejection (37 CFR 1.129(a))
Fee Code (\$)		149	740 249 370 For each additional invention to be examined (37 CFR 1.129(b))
Fee Code (\$)		179	740 279 370 Request for Continued Examination (RCE)
Fee Code (\$)		169	900 169 900 Request for expedited examination of a design application
Other fee (specify) _____			
* Reduced by Basic Filing Fee Paid		<b>SUBTOTAL (3) (\$)</b>	

<b>SUBMITTED BY</b>		Complete (if applicable)	
Typed or Printed Name	Thomas M. Coester, Reg. No. 39,637		Reg. Number
Signature	<i>Thomas M. Coester</i>	Date	10/18/01
Deposit Account User ID			02-2666

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